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Selena Whitaker-Paquet

Attorney Docket No.: 13311. 1002U  
PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re **Warwick BRITTON, and Caroline DEMANGEL**

Group Art Unit: 1645

Application No. : 10/689,921  
Filed : October 17, 2003  
For : **COMPOSITIONS AND METHODS FOR TARGETING ANTIGEN-PRESENTING CELLS WITH ANTIBODY SINGLE-CHAIN VARIABLE REGION FRAGMENTS**

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

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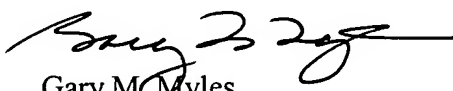
Dear Sir or Madam:

Transmitted herewith for filing is the following:

1. Information Disclosure Statement;
2. PTO Form /SB/08 (Substitute for form 1449A/PTO) w/attached 7 references; and
3. Return-receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, which may be required in connection with the filing of these documents, or credit any overpayment, to Deposit Account No. 19-3555. This sheet is submitted in duplicate.

Respectfully submitted,

  
Gary McMyles  
Registration No. 46,209

Date: May 25, 2004

**SPECKMAN LAW GROUP PLLC**

**20601**



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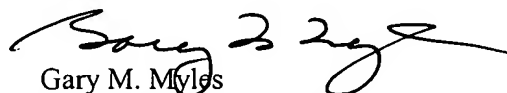
Dear Sir or Madam:

The attached form PTO/SB/08 (substitute for form 1449A/PTO) identifies one (1) U.S. Patent Application reference; three (3) published International patent application references; one (1) foreign patent reference and two (2) literature references. Copies of these references are enclosed herewith for the Examiner's convenience.

The documents listed on the accompanying form PTO/SB/08 are cited in compliance with the provisions of 37 C.F.R §§ 1.56, 1.97 and 1.98, as amended. Applicant does not concede that the references are "prior art" under 35 U.S.C. §102 or §103, and specifically reserves the right to antedate such materials, as by a showing under 37 C.F.R. § 1.131 or otherwise.

This Information Disclosure Statement is being filed prior to receipt of any substantive Office Action, and no fee or certification is therefore required.

Respectfully submitted,

  
Gary M. Myles  
Registration No. 46,209

Date: May 25, 2004  
**SPECKMAN LAW GROUP PLLC**

**20601**

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary) MAY 27 2004		Application Number	10/689,921
		Filing Date	October 17, 2003
		First Named Inventor	Warwick BRITTON, et al.
		Art Unit	1645
		Examiner Name	
Sheet	of 1	Attorney Docket Number	13311.1002U

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US-2002/0187131 A1	Dec. 12, 2002	Hawiger, et al.	
	2	US-			
	3	US-			
	4	US-			
	5	US-			
	6	US-			
	7	US-			
	8	US-			
	9	US-			
	10	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	1	WO 01/09186 A2	Feb. 8, 2001	Medarex, Inc.		
	2	WO 00/00156 A2	Jan. 6, 2000	Trustees of Dartmouth College		
	3	WO 99/36507 A1	July 22, 1999	Genitrix, LLC		
	4	EP 1 046 651 A1	Oct. 25, 2000	Koninklijke Universiteit Nijmegen		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	1	BONIFAZ, Laura et al., "Efficient Targeting of Protein Antigen to the Dendritic Cell Receptor DEC-205 in the Steady State Leads to Antigen Presentation on Major Histocompatibility Complex Class I Products and Peripheral CD8 <sup>+</sup> T Cell Tolerance," <i>The Journal of Experimental Medicine</i> , Vol. 196, No. 12, pp. 1627-1638 (December 16, 2002)	
	2	HAWIGER, Daniel et al., "Dendritic Cells Induce Peripheral T Cell Unresponsiveness Under Steady State Conditions In Vivo," <i>The Journal of Experimental Medicine</i> , Vol. 194, No. 6, pp. 769-779 (September 17, 2001)	
	3		

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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